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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/895,863 | 06/28/2001 | Masahiro Sakashita | 16869S-027600US | 5365 |

20350 7590 03/15/2006

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EXAMINER

GRAHAM, CLEMENT B

| | |
|----------|--------------|
| ART UNIT | PAPER NUMBER |
|----------|--------------|

3628

DATE MAILED: 03/15/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | | |
|------------------------------|--------------------------------------|---|--|
| Office Action Summary | Application No. 09/895,863 | Applicant(s) SAKASHITA ET AL. | |
| | Examiner Clement B. Graham | Art Unit 3628 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 28 June 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-7 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-7 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-7, are rejected under 35 U.S.C. 102(e) as being anticipated by Eder U.S. Pub: 2001/0041995A1.

As per claim 1, Eder discloses a method of managing the accounts receivable and the accounts payable through an intermediary of transactions, comprising the steps of.

preparing and managing the data on the accounts receivable and the accounts payable for each of the parties in transactions based on the ordering data, the order-receiving data and the delivery data between said parties (see column 7 paragraph 0064 and paragraph 0067 and column 26 claims 7 and 9)

sending the prepared data on the accounts receivable and the accounts payable to each party.(see column 7 paragraph 0064 and paragraph 0067 and column 26 claims 7 and 9) preparing and managing the payment management data and the receipts management data; and

sending the payment management data and the receipts management data thus prepared to each party.(see column 7 paragraph 0064 and paragraph 0067 and column 26 claims 7 and 9).

As per claim 2, Eder discloses further comprising the step of instructing a financial institution to transfer funds based on said payment management data and said receipts management data.(see column 7 paragraph 0064 and paragraph 0067 and column 26 claims 7 and 9).

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As per claim 3, Eder discloses further comprising the step of providing the financial services based on said accounts receivable data.(see column 7 paragraph 0064 and paragraph 0067 and column 26 claims 7 and 9).

As per claim 4, Eder discloses further comprising the step of providing the financial services 3 based on said accounts receivable data. (see column 7 paragraph 0064 and paragraph 0067 and column 26 claims 7 and 9).

As per claim 5, Eder discloses a system for managing the accounts receivable and the accounts payable through an intermediary of transactions, comprising a transaction intermediary unit connected to the processing means of each party in transactions through a network for acting as an intermediary for transactions.(see column 7 paragraph 0064 and paragraph 0067 and column 26 claims 7 and 9) wherein said transaction intermediary unit includes means for relaying, between the parties, the ordering data, the order-receiving/delivery data and the inspection data from the parties concerning the transactions.(see column 7 paragraph 0064 and paragraph 0067 and column 26 claims 7 and 9) means for preparing the accounts receivable data, the accounts payable data, the payment management data and the receipts management data based on the ordering data, the order-receiving/delivery data and the inspection data, and means for sending the data thus prepared to the parties.(see column 7 paragraph 0064 and paragraph 0067 and column 26 claims 7 and 9).

As per claim 6, Eder discloses a further comprising processing means of a financial institution connected through a network, wherein said transaction intermediary unit further includes means for instructing the financial institution to transfer funds based on said payment management data and said receipts management data.(see column 7 paragraph 0064 and paragraph 0067 and column 26 claims 7 and 9) and wherein said financial institution includes means for transferring funds between the parties in response to said fund transfer request and means for sending each of the parties the payment processing data and the receipts processing data constituting the result of said fund transfer

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processing. (see column 7 paragraph 0064 and paragraph 0067 and column 26 claims 7 and 9).

As per claim 7, Eder discloses a said recording medium being adapted to store therein:

a processing program for receiving the ordering data, the order-receiving data and the delivery data between the parties in transactions.(see column 7 paragraph 0064 and paragraph 0067 and column 26 claims 7 and 9)

a processing program for preparing and managing the accounts receivable data and the accounts payable data for each party based on said ordering data, said order-receiving data and said delivery data.(see column 7 paragraph 0064 and paragraph 0067 and column 26 claims 7 and 9) a processing program for preparing and managing the payment management data and the receipts management data; and a processing program for sending said data prepared to each of said parties.(see column 7 paragraph 0064 and paragraph 0067 and column 26 claims 7 and 9).

Conclusion

3. The prior art of record and not relied upon is considered pertinent to Applicants disclosure.

Liddy Eder (US Patent 6, 026, 388) teaches user interface and other enhancements for natural language information retrieval system and method.

Kohorn US PATENT: 5, 508, 731) teaches generation of enlarged participatory broadcast audience.

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Clement B Graham whose telephone number is 703-305-1874. The examiner can normally be reached on 7am to 5pm.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hyung S. Sough can be reached on 703-308-0505. The fax phone numbers for the organization where this application or proceeding is assigned are 703-305-0040 for regular communications and 703-305-0040 for After Final communications.

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.

CG

February 27, 2006


FRANTZY POINVIL
PRIMARY EXAMINER
AU 3628